

Governance Information (Authority-Related)

| Question | Response | URL (if applicable) |
|--|----------|---------------------|
| 1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes | www.mcbdc.org |
| 2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls? | Yes | www.mcbdc.org |
| 3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2800(4) of PAL? | Yes | N/A |
| 4. Does the independent auditor provide non-audit services to the Authority? | No | N/A |
| 5. Does the Authority have an organization chart? | Yes | www.mcbdc.org |
| 6. Are any Authority staff also employed by another government agency? | Yes | Montgomery County |
| 7. Has the Authority posted their mission statement to their website? | Yes | www.mcbdc.org |
| 8. Has the Authority mission statement been revised and adopted during the reporting period? | Yes | N/A |
| 9. Attach the Authority's measurement report, as required by section 2824-a of Public Authorities Law and provide the URL? | www | N/A |
| | | |

Governance Information (Board-Related)

| Question | Response | URL |
|---|----------|---------------|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL? | Yes | N/A |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL? | Yes | N/A |
| 3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL? | Yes | N/A |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established): | | www.mcfdc.org |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL? | Yes | N/A |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year | | www.mcfdc.org |
| 7. Has the Board adopted bylaws and made them available to Board members and staff? | Yes | www.mcfdc.org |
| 8. Has the Board adopted a code of ethics for Board members and staff? | Yes | www.mcfdc.org |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls? | Yes | N/A |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL? | Yes | N/A |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL? | | |
| Salary and Compensation | Yes | N/A |
| Time and Attendance | Yes | N/A |
| Whistleblower Protection | Yes | N/A |
| Defense and Indemnification of Board Members | Yes | N/A |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL? | Yes | N/A |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes | N/A |
| 14. Was a performance evaluation of the board completed? | Yes | N/A |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts? | Yes | N/A |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees? | No | |
| 17. Has the board adopted a Uniform Tax Exemption Policy (UTEP) according to Section 874(4) of GML? | Yes | |

Board of Directors Listing

| | | | |
|---|-----------------------|---|-----------------------|
| Name | Wilson, Daniel | Name | Richards, Gene |
| Chair of Board | No | Chair of Board | No |
| If yes, Chairman Designated by | | If yes, Chairman Designated by | |
| Term Start Date | 12/22/2007 | Term Start Date | 07/27/2004 |
| Term Expiration Date | Pleasure of Authority | Term Expiration Date | Pleasure of Authority |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Appointed By (Name of Ex-officio Board member) | No | Appointed By (Name of Ex-officio Board member) | No |
| Nominated By | Local | Nominated By | Local |
| Appointed By | | Appointed By | |
| Confirmed by Senate | | Confirmed by Senate | |
| Has the board member/designee signed the acknowledgement of fiduciary duty? | | Has the board member/designee signed the acknowledgement of fiduciary duty? | |
| Complied with Training requirement of Section 2824 ? | No | Complied with Training requirement of Section 2824 ? | Yes |
| Does the board member/designee also hold an elected or appointed state gove | No | Does the board member/designee also hold an elected or appointed state gove | No |
| Does the board member/designee also hold an elected or appointed municipal government Position? | No | Does the board member/designee also hold an elected or appointed municipal government Position? | No |

Board of Directors Listing

| | | | |
|---|-----------------------|---|-----------------------|
| Name | Hoefs, Robert | Name | Schwartz, Charles |
| Chair of Board | No | Chair of Board | No |
| If yes, Chairman Designated by | | If yes, Chairman Designated by | |
| Term Start Date | 06/23/2006 | Term Start Date | 06/28/2005 |
| Term Expiration Date | Pleasure of Authority | Term Expiration Date | Pleasure of Authority |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Appointed By (Name of Ex-officio Board member) | No | Appointed By (Name of Ex-officio Board member) | No |
| Nominated By | Local | Nominated By | Local |
| Appointed By | | Appointed By | |
| Confirmed by Senate | | Confirmed by Senate | |
| Has the board member/designee signed the acknowledgement of fiduciary duty? | | Has the board member/designee signed the acknowledgement of fiduciary duty? | |
| Complied with Training requirement of Section 2824 ? | Yes | Complied with Training requirement of Section 2824 ? | Yes |
| Does the board member/designee also hold an elected or appointed state government position? | No | Does the board member/designee also hold an elected or appointed state government position? | No |
| Does the board member/designee also hold an elected or appointed municipal government position? | No | Does the board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|---|-----------------------|---|-----------------------|
| Name | Shineman, Carol | Name | Hisert, William |
| Chair of Board | No | Chair of Board | Yes |
| If yes, Chairman Designated by | | If yes, Chairman Designated by | Elected by Board |
| Term Start Date | 01/01/2007 | Term Start Date | 11/25/2003 |
| Term Expiration Date | Pleasure of Authority | Term Expiration Date | Pleasure of Authority |
| Title | | Title | |
| Has the Board member appointed a designee? | | Has the Board member appointed a designee? | |
| Appointed By (Name of Ex-officio Board member) | No | Appointed By (Name of Ex-officio Board member) | No |
| Nominated By | Local | Nominated By | Local |
| Appointed By | | Appointed By | |
| Confirmed by Senate | | Confirmed by Senate | |
| Has the board member/designee signed the acknowledgement of fiduciary duty? | | Has the board member/designee signed the acknowledgement of fiduciary duty? | |
| Complied with Training requirement of Section 2824 ? | No | Complied with Training requirement of Section 2824 ? | Yes |
| Does the board member/designee also hold an elected or appointed state gove | No | Does the board member/designee also hold an elected or appointed state gove | No |
| Does the board member/designee also hold an elected or appointed municipal government Position? | No | Does the board member/designee also hold an elected or appointed municipal government Position? | No |

Board of Directors Listing

| | |
|---|-----------------------|
| Name | Emanual, Joseph |
| Chair of Board | No |
| If yes, Chairman Designated by | |
| Term Start Date | 07/01/2008 |
| Term Expiration Date | Pleasure of Authority |
| Title | |
| Has the Board member appointed a designee? | |
| Appointed By (Name of Ex-officio Board member) | No |
| Nominated By | Local |
| Appointed By | |
| Confirmed by Senate | |
| Has the board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with Training requirement of Section 2824 ? | Yes |
| Does the board member/designee also hold an elected or appointed state government position? | No |
| Does the board member/designee also hold an elected or appointed municipal government position? | No |

Staff Listing

| Name | Title | Group | Department / Subsidiary | Union Name | Bargaining Unit | Full Time/ Part Time | Exempt Indicator | Base Annualized Salary | Actual salary paid to the Individual | Over time paid by Authority | Performance Bonus | Extra Pay | Other Compensation/Allowances/Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the authority | If yes Is payment made by state or local government |
|---------------------|---------------------------------|-----------------------------|-------------------------|------------|-----------------|----------------------|------------------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|---|
| Ricciuti, Crystal R | Economic Development Specialist | Operational | EOD/MCIDA | | | FT | No | 5,000.00 | 5,000 | 0 | 0 | 0 | 0 | 5,000 | Yes | Yes |
| Rose, Kenneth F | CEO | Executive | EOD/MCIDA | | | FT | No | 18,000.00 | 18,000 | 0 | 0 | 0 | 0 | 18,000 | Yes | Yes |
| Snell, Sheila M | CFO | Executive | EOD/MCIDA | | | FT | No | 5,000.00 | 5,000 | 0 | 0 | 0 | 0 | 5,000 | Yes | Yes |
| Thomas, Barbara A | Administrative Assistant | Administrative and Clerical | EOD/MCIDA | | | FT | No | 4,000.00 | 4,000 | 0 | 0 | 0 | 0 | 4,000 | Yes | Yes |

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

| Name | Title | Severance Package | Payment for Unused Leave | Club Membership | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|-------------------|--------------------|-------------------|--------------------------|-----------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Emanuel, Joseph | Board of Directors | | | | | | | | | | | | X | |
| Hisert, William | Board of Directors | | | | | | | | | | | | X | |
| Shineman, Carol | Board of Directors | | | | | | | | | | | | X | |
| Wilson, Daniel | Board of Directors | | | | | | | | | | | | X | |
| Hoefs, Robert | Board of Directors | | | | | | | | | | | | X | |
| Richards, Gene | Board of Directors | | | | | | | | | | | | X | |
| Schwartz, Charles | Board of Directors | | | | | | | | | | | | X | |

Staff

| Name | Title | Severance Package | Payment for Unused Leave | Club Membership | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|---|-------|-------------------|--------------------------|-----------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| No Data has been entered by the Authority for this section in PARIS | | | | | | | | | | | | | | |

Subsidiary/Affiliate Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other affiliates or subsidiaries of the authority that are active, not included in the PARIS reports submitted by this auth: No

| Name of Subsidiary/Affiliate | Status | Requested Changes |
|------------------------------|--------|-------------------|
|------------------------------|--------|-------------------|

Subsidiary/Affiliate Creation

| Name of Subsidiary/Affiliate | Establishment Date | Entity Purpose |
|------------------------------|--------------------|----------------|
|------------------------------|--------------------|----------------|

Subsidiary/Affiliate Termination

| Name of Subsidiary/Affiliate | Termination Date | Termination Reason | Proof Termination |
|------------------------------|------------------|--------------------|-------------------|
|------------------------------|------------------|--------------------|-------------------|

No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

| <u>Assets</u> | |
|--|--------------------|
| Current Assets | |
| Cash and cash equivalents | \$2,308,885 |
| Investments | \$0 |
| Receivables, net | \$694,190 |
| Other assets | \$93,761 |
| Total Current Assets | \$3,096,836 |
| Noncurrent Assets | |
| Restricted cash and investments | \$225,322 |
| Long-term receivables, net | \$0 |
| Other assets | \$0 |
| Capital Assets | |
| Land and other nondepreciable property | \$1,994,967 |
| Buildings and equipment | \$0 |
| Infrastructure | \$0 |
| Accumulated depreciation | \$0 |
| Net Capital Assets | \$1,994,967 |
| Total Noncurrent Assets | \$2,220,289 |
| Total Assets | \$5,317,125 |

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

| | |
|---|--------------------|
| Accounts payable | \$119,032 |
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$0 |
| Accrued liabilities | \$0 |
| Deferred revenues | \$193,296 |
| Bonds and notes payable | \$0 |
| Other long-term obligations due within one year | \$1,163,693 |
| Total Current Liabilities | \$1,476,021 |

Noncurrent Liabilities

| | |
|-------------------------------------|--------------------|
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$0 |
| Bonds and notes payable | \$0 |
| Long Term Leases | \$0 |
| Other long-term obligations | \$2,101,144 |
| Total Noncurrent Liabilities | \$2,101,144 |

Total Liabilities

\$3,577,165

Net Asset (Deficit)

Net Asset

| | |
|---|--------------------|
| Invested in capital assets, net of related debt | \$680,934 |
| Restricted | \$0 |
| Unrestricted | \$1,059,026 |
| Total Net Assets | \$1,739,960 |

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

| | |
|--------------------------------|------------------|
| Charges for services | \$430,821 |
| Rental & financing income | \$23,024 |
| Other operating revenues | \$12,275 |
| Total Operating Revenue | \$466,120 |

Operating Expenses

| | |
|---------------------------------|------------------|
| Salaries and wages | \$32,000 |
| Other employee benefits | \$3,538 |
| Professional services contracts | \$255,309 |
| Supplies and materials | \$9,951 |
| Depreciation & amortization | \$3,252 |
| Other operating expenses | \$77,665 |
| Total Operating Expenses | \$381,715 |

Operating Income (Loss) **\$84,405**

Nonoperating Revenues

| | |
|-----------------------------------|------------------|
| Investment earnings | \$2,252 |
| State subsidies/grants | \$257,950 |
| Federal subsidies/grants | \$0 |
| Municipal subsidies/grants | \$0 |
| Public authority subsidies | \$0 |
| Other nonoperating revenues | \$0 |
| Total Nonoperating Revenue | \$260,202 |

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

| | |
|--|------------------|
| Interest and other financing charges | \$0 |
| Subsidies to other public authorities | \$17,500 |
| Grants and donations | \$250,000 |
| Other nonoperating expenses | \$5,162 |
| Total Nonoperating Expenses | \$272,662 |
| Income (Loss) Before Contributions | \$71,945 |
| Capital Contributions | \$0 |
| Change in net assets | \$71,945 |
| Net assets (deficit) beginning of year | \$1,668,015 |
| Other net assets changes | \$0 |
| Net assets (deficit) at end of year | \$1,739,960 |

Current Debt

| Question | Response |
|---|----------|
| 1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | Yes |
| 2. If yes, has the Authority issued any debt during the reporting period? | No |

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

| Type of Debt | Statutory Authorization (\$) | Outstanding Start of Fiscal year (\$) | New Debt Issuances (\$) | Debt Retired (\$) | Outstanding End of Fiscal Year (\$) |
|--|------------------------------|---------------------------------------|-------------------------|-------------------|-------------------------------------|
| State Obligation | | | | | |
| State Guaranteed | | | | | |
| State Supported | | | | | |
| State Contingent Obligation | | | | | |
| State Moral Obligation | | | | | |
| Other State Funded | | | | | |
| Authority Obligation | | | | | |
| General Obligation | | | | | |
| Revenue | | | | | |
| Other Non-State Funded | | | | | |
| Conduit | | | | | |
| Conduit Debt | 0.00 | 40,299,146.67 | 0.00 | 1,779,939.00 | 38,519,207.67 |
| Conduit Debt - Pilot Increment Financing | | | | | |

Real Property Acquisition/Disposal List

1. Address Line1: State Highway 5S

Address Line2:

City: AMSTERDAM
State: NY
Postal Code: 12010
Plus4:
Province/Region:
Country: USA
Property Description: Vacant Lot/Undeveloped Land
Estimated Fair Market Value: \$235,000
How was the Fair Market Value Appraisal
Determined?
Transaction Type: DISPOSITION SALE
If Other, Explain:

Transaction Date: 07/07/2010
Purchase Sale Price: \$135,550.00
Lease Data (If applicable)
Market Rate(\$/square foot):
Lease Rate(\$/square foot):
Lease Period (months):
Seller/Purchaser/Tenant Data:
Organization: Hill & Markes Realty , LLC
Last Name:
First Name:

Address Line1: Edson Street
Address Line2:
City: AMSTERDAM
State: NY
Postal Code: 12010
Plus4:
Province/Region:
Country: USA
Relation With Board
member/senior authority
management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

| Question | Response | URL (if applicable) |
|--|----------|--|
| 1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared? | Yes | www.mcbdc.org |
| 2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property? | Yes | www.mcbdc.org |
| 3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes | |

IDA Projects

1.

General Project Information

Project Code: 27028601A
Project Type: Bonds/Notes Issuance
Project Name: Alpin Haus

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Retail Trade

Total Project Amount: \$2,570,512.00
Benefited Project Amount: \$1,800,000.00
Bond/Note Amount: \$1,800,000.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 12/22/1986
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 12/23/1986
or Leasehold Interest:
Year Financial Assitance is 2016
planned to End:
Notes: To open a retail trade store.

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$40,606.58
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$69,585.76
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$110,192.34
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$19,833.73 | \$19,833.73 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$33,988.22 | \$33,988.22 |
| Total PILOTS: | \$53,821.95 | \$53,821.95 |

Net Exemptions: \$56,370.39

Location of Project

Address Line1: 4850 State Hwy 30 N
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 54
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 54

Applicant Information

Applicant Name: Alpin Haus
Address Line1: 4850 State Highway 30N
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

2.

General Project Information

Project Code: 27020801A
Project Type: Straight Lease
Project Name: Beechnut

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$124,869,350.00
Benefited Project Amount: \$100,662,350.00
Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 05/26/2008
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 10/03/2008
or Leasehold Interest:
Year Financial Assitance is 2028
planned to End:
Notes: New facility; florida parkUnder PILOT agreement ESD receives the entire PILOT payment of \$2.5M as a rebate until 2018

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$206,490
Local Sales Tax Exemption: \$206,490
County Real Property Tax Exemption: \$1,389,523.74
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$2,107,430.45
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$3,909,934.19
Total Exemptions Net of RPTL Section 485-b: \$1,748,477.09

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|-----------------------------|-------------------|-----------------------|
| County PILOTS: \$0 | | \$0 |
| Local PILOTS: \$0 | | \$0 |
| School District PILOTS: \$0 | | \$0 |
| Total PILOTS: \$0 | | \$0 |

Net Exemptions: \$3,909,934.19

Location of Project

Address Line1: 100 Hero Drive
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 356
Original Estimate of Jobs to be created: 136
Average estimated annual salary of jobs to be created.(at current market rates): 40,000
Annualized salary Range of jobs to be created: 40,000 To: 40,000
Original Estimate of Jobs to be Retained: 356
Estimated average annual salary of jobs to be retained.(at current market rates): 40,000
Current # of FTEs: 464
of FTE Construction Jobs during fiscal year: 60
Net Employment Change: 108

Applicant Information

Applicant Name: Hero Group, Inc
Address Line1: 100 Hero Drive
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

3.

General Project Information

Project Code: 2702002A
Project Type: Straight Lease
Project Name: Bill Lake Homes

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$1,091,335.00
Benefited Project Amount: \$1,040,565.00
Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 07/17/2000
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 11/02/2000
or Leasehold Interest:
Year Financial Assitance is 2010
planned to End:
Notes: To open a manufacturing business.

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$13,452.53
Local Property Tax Exemption: \$635.78
School Property Tax Exemption: \$22,297
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$36,385.31
Total Exemptions Net of RPTL Section 485-b: \$32,746.77

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$12,107.28 | \$12,107.28 |
| Local PILOTS: | \$572.2 | \$572.2 |
| School District PILOTS: | \$32,746.78 | \$32,746.78 |
| Total PILOTS: | \$45,426.26 | \$45,426.26 |

Net Exemptions: -\$9,040.95

Location of Project

Address Line1: 188 Flanders Road
Address Line2:
City: SPRAKERS
State: NY
Zip - Plus4: 12166
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 37
Original Estimate of Jobs to be created: 18
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 37
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 19
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: (18)

Applicant Information

Applicant Name: Bill Lake Homes
Address Line1: 188 Flanders Road
Address Line2:
City: SPRAKERS
State: NY
Zip - Plus4: 12166
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: Yes
There is no outstanding debt for this project: Yes
IDA does not hold title to the property: Yes
The project receives no tax exemptions: Yes

IDA Projects

4.

General Project Information

Project Code: 27020803A
Project Type: Straight Lease
Project Name: Breton Industries

Project part of another Yes
phase or multi phase:
Original Project Code: 27029001A
Project Purposes Category: Manufacturing

Total Project Amount: \$725,000.00
Benefited Project Amount: \$0.00
Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 10/09/2008
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 11/05/2008
or Leasehold Interest:
Year Financial Assitance is 2018
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 1 Sam Stratton Road
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 106
Original Estimate of Jobs to be created: 10
Average estimated annual salary of jobs to be created.(at current market rates): 16,224
Annualized salary Range of jobs to be created: 16,224 To: 16,224
Original Estimate of Jobs to be Retained: 106
Estimated average annual salary of jobs to be retained.(at current market rates): 40,000
Current # of FTEs: 92
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: (14)

Applicant Information

Applicant Name: Breton Industries
Address Line1: 1 Sam Stratton Road
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

5.

General Project Information

Project Code: 2702013A
Project Type: Straight Lease
Project Name: Capital District Salt

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Services

Total Project Amount: \$1,465,000.00
Benefited Project Amount: \$1,000,000.00
Bond/Note Amount:
Annual Lease Payment: \$1
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 06/21/2001
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 06/01/2001
or Leasehold Interest:
Year Financial Assitance is 2011
planned to End:
Notes: New salt facility; project code s/b
27020103A

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 6605 State Hwy 5
Address Line2:
City: FORT PLAIN
State: NY
Zip - Plus4: 13339
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 25
Original Estimate of Jobs to be created: 6
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 25
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 25
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: Capital District Salt
Address Line1: 6605 State Hwy 5
Address Line2:
City: FORT PLAIN
State: NY
Zip - Plus4: 13339
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: Yes
There is no outstanding debt for this project: Yes
IDA does not hold title to the property: Yes
The project receives no tax exemptions: Yes

IDA Projects

6.

General Project Information

Project Code: 27020902A
Project Type: Straight Lease
Project Name: DAIM Logistics

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Transportation, Communication, Electric,

Total Project Amount: \$885,000.00
Benefited Project Amount: \$720,000.00
Bond/Note Amount:
Annual Lease Payment: \$0
Federal Tax Status of Bonds:
Not For Profit: No
Date Project Approved: 08/13/2009
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 12/01/2009
or Leasehold Interest:
Year Financial Assitance is 2020
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$21,320.32
Local Sales Tax Exemption: \$21,320.32
County Real Property Tax Exemption: \$1,827.8
Local Property Tax Exemption: \$138.23
School Property Tax Exemption: \$2,549.85
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$47,156.52
Total Exemptions Net of RPTL Section 485-b: \$2,257.94

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$47,156.52

Location of Project

Address Line1: Park Drive
Address Line2: Glen Canal View Business Park
City: FULTONVILLE
State: NY
Zip - Plus4: 12072
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 16
Average estimated annual salary of jobs to be created.(at current market rates): 37,960
Annualized salary Range of jobs to be created: 37,960 To: 37,960
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 10
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 10

Applicant Information

Applicant Name: DAIM Logistics
Address Line1: Park Drive
Address Line2: Glen Canal View Business Park
City: FULTONVILLE
State: NY
Zip - Plus4: 12072
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

7.

General Project Information
 Project Code: 27020101A
 Project Type: Straight Lease
 Project Name: Dominion Transmission

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Transportation, Communication, Electric,

Total Project Amount: \$14,000,000.00
 Benefited Project Amount: \$7,000,000.00
 Bond/Note Amount:
 Annual Lease Payment: \$0
 Federal Tax Status of Bonds:
 Not For Profit: No
 Date Project Approved: 11/20/2000
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 09/17/2001
 or Leasehold Interest:
 Year Financial Assitance is 2017
 planned to End:
 Notes: To open business. NOTE: Total exemp net of RPTL Sec 485b exems actually \$171,713.44, system would not allow a negative amount ot be entered. Project 1

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$7,651.28
 Local Property Tax Exemption: \$1,915.59
 School Property Tax Exemption: \$17,446.85
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$27,013.72
 Total Exemptions Net of RPTL Section 485-b: \$8,057.83

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|-------------------------|-------------------|-----------------------|
| County PILOTS: | \$60,004.41 | \$60,004.41 |
| Local PILOTS: | \$15,022.85 | \$15,022.85 |
| School District PILOTS: | \$136,825.24 | \$136,825.24 |
| Total PILOTS: | \$211,852.5 | \$211,852.5 |

Net Exemptions: -\$184,838.78

Location of Project
 Address Line1: Brookman's Corner Road
 Address Line2:
 City: FORT PLAIN
 State: NY
 Zip - Plus4: 13339
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 0
 Original Estimate of Jobs to be created: 1
 Average estimated annual salary of jobs to be created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 0
 Estimated average annual salary of jobs to be retained.(at current market rates): 0
 Current # of FTEs: 0
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 0

Applicant Information
 Applicant Name: Dominion Transmission
 Address Line1: Brookman's Corner Road
 Address Line2:
 City: FORT PLAIN
 State: NY
 Zip - Plus4: 13339
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects

8.

General Project Information

Project Code: 27020602A
Project Type: Bonds/Notes Issuance
Project Name: Fiber Glass Industries

Project part of another Yes
phase or multi phase:
Original Project Code: 27020001A
Project Purposes Category: Manufacturing

Total Project Amount: \$3,250,000.00
Benefited Project Amount: \$3,250,000.00
Bond/Note Amount: \$3,250,000.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 10/26/2006
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 10/27/2006
or Leasehold Interest:
Year Financial Assitance is 2013
planned to End:
Notes: Bond; project location also at 1
Homestead Place, Amsterdam NY 12010

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 69 Edson Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 0
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: Fiber Glass Industries
Address Line1: 69 Edson Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

9.

General Project Information

Project Code: 27020901A
Project Type: Bonds/Notes Issuance
Project Name: Fiber Glass Industries

Project part of another Yes
phase or multi phase:
Original Project Code: 27020201A
Project Purposes Category: Manufacturing

Total Project Amount: \$5,000,000.00
Benefited Project Amount: \$5,000,000.00
Bond/Note Amount: \$5,000,000.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 03/12/2009
IDA Took Title or Leasehold No
Interest in the Property:
Date IDA Took Title
or Leasehold Interest:
Year Financial Assitance is 2016
planned to End:
Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$321
Local Sales Tax Exemption: \$321
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$642.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$642

Location of Project

Address Line1: 69 Edson Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 150
Original Estimate of Jobs to be created: 12
Average estimated annual salary of jobs to be created.(at current market rates): 26,000
Annualized salary Range of jobs to be created: 26,000 To: 26,000
Original Estimate of Jobs to be Retained: 150
Estimated average annual salary of jobs to be retained.(at current market rates): 40,000
Current # of FTEs: 165.7
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 15.7

Applicant Information

Applicant Name: Fiber Glass Industries
Address Line1: 69 Edson Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

10.

General Project Information
 Project Code: 27020201A
 Project Type: Bonds/Notes Issuance
 Project Name: Fiber Glass Industries

 Project part of another Yes
 phase or multi phase:
 Original Project Code: 27020001A
 Project Purposes Category: Manufacturing

 Total Project Amount: \$2,240,000.00
 Benefited Project Amount: \$2,200,000.00
 Bond/Note Amount: \$2,200,000.00
 Annual Lease Payment:
 Federal Tax Status of Bonds: Tax Exempt
 Not For Profit: No
 Date Project Approved: 10/28/2002
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 12/23/2002
 or Leasehold Interest:
 Year Financial Assitance is 2010
 planned to End:
 Notes: Expand Business; location of project
 also at 1 Homestead Place, Amsterdam,
 NY 12010

Project Tax Exemptions & PILOT Payment Information
 State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$0
 Local Property Tax Exemption: \$0
 School Property Tax Exemption: \$0
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$0.00
 Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

 Net Exemptions: \$0

Location of Project
 Address Line1: 69 Edson Street
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Employment Information
 # of FTEs before IDA Status: 0
 Original Estimate of Jobs to be created: 0
 Average estimated annual salary of jobs to be
 created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 0
 Estimated average annual salary of jobs to be
 retained.(at current market rates): 0
 Current # of FTEs: 0
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 0

Applicant Information
 Applicant Name: Fiber Glass Industries
 Address Line1: 69 Edson Street
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Status
 Current Year Is Last Year for reporting: Yes
 There is no outstanding debt for this project: Yes
 IDA does not hold title to the property: Yes
 The project receives no tax exemptions: Yes

IDA Projects

11.

General Project Information

Project Code: 27020501A
Project Type: Bonds/Notes Issuance
Project Name: HFM BOCES

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Civic Facility

Total Project Amount: \$29,500,000.00
Benefited Project Amount: \$29,500,000.00
Bond/Note Amount: \$29,500,000.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: Yes
Date Project Approved: 01/12/2005
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 01/12/2005
or Leasehold Interest:
Year Financial Assitance is 2034
planned to End:
Notes: To expend building

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|-----------------------------|-------------------|-----------------------|
| County PILOTS: \$0 | | \$0 |
| Local PILOTS: \$0 | | \$0 |
| School District PILOTS: \$0 | | \$0 |
| Total PILOTS: \$0 | | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 2755 State Hwy 67
Address Line2:
City: JOHNSTOWN
State: NY
Zip - Plus4: 12095
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 325.2
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 325.2

Applicant Information

Applicant Name: HFM BOCES
Address Line1: 2755 State Highway 67
Address Line2:
City: JOHNSTOWN
State: NY
Zip - Plus4: 12095
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

12.

General Project Information
 Project Code: 27021002A
 Project Type: Straight Lease
 Project Name: Hill & Markes, Inc.

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Wholesale Trade

Total Project Amount: \$11,115,728.00
 Benefited Project Amount: \$9,652,027.00
 Bond/Note Amount:
 Annual Lease Payment: \$0
 Federal Tax Status of Bonds:
 Not For Profit: No
 Date Project Approved: 06/30/2010
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 07/07/2010
 or Leasehold Interest:
 Year Financial Assitance is 2022
 planned to End:
 Notes:

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$80,458.72
 Local Sales Tax Exemption: \$80,458.72
 County Real Property Tax Exemption: \$0
 Local Property Tax Exemption: \$0
 School Property Tax Exemption: \$0
 Mortgage Recording Tax Exemption: \$63,750
 Total Exemptions: \$224,667.44
 Total Exemptions Net of RPTL Section 485-b: \$224,667.44

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|----------------------------------|-------------------|-----------------------|
| County PILOTS: \$0 | | \$0 |
| Local PILOTS: \$0 | | \$0 |
| School District PILOTS: \$719.94 | | \$719.94 |
| Total PILOTS: \$719.94 | | \$719.94 |

Net Exemptions: \$223,947.5

Location of Project
 Address Line1: 1975 Route 5S
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 141
 Original Estimate of Jobs to be created: 48
 Average estimated annual salary of jobs to be created.(at current market rates): 40,000
 Annualized salary Range of jobs to be created: 40,000 To: 40,000
 Original Estimate of Jobs to be Retained: 141
 Estimated average annual salary of jobs to be retained.(at current market rates): 53,000
 Current # of FTEs: 160
 # of FTE Construction Jobs during fiscal year: 16.68
 Net Employment Change: 19

Applicant Information
 Applicant Name: Hill & Markes, Inc.
 Address Line1: 1975 Route 5S
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects

13.

General Project Information
 Project Code: 27029501A
 Project Type: Bonds/Notes Issuance
 Project Name: NBT Bank (FKA Central National Bank)

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Finance, Insurance and Real Estate

Total Project Amount: \$3,750,000.00
 Benefited Project Amount: \$3,750,000.00
 Bond/Note Amount: \$3,750,000.00
 Annual Lease Payment:
 Federal Tax Status of Bonds: Taxable
 Not For Profit: No
 Date Project Approved: 05/23/1995
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 06/07/1995
 or Leasehold Interest:
 Year Financial Assitance is 2025
 planned to End:
 Notes: Creation of operations center. Also see
 project code 27029601A.

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$51,586.56
 Local Property Tax Exemption: \$53,332.73
 School Property Tax Exemption: \$85,488.8
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$190,408.09
 Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$51,586.56 | \$51,586.56 |
| Local PILOTS: | \$53,332.73 | \$53,332.73 |
| School District PILOTS: | \$85,488.8 | \$85,488.8 |
| Total PILOTS: | \$190,408.09 | \$190,408.09 |

Net Exemptions: \$0

Location of Project
 Address Line1: 24 Church Street
 Address Line2:
 City: CANAJOHARIE
 State: NY
 Zip - Plus4: 13317
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 0
 Original Estimate of Jobs to be created: 130
 Average estimated annual salary of jobs to be
 created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 0
 Estimated average annual salary of jobs to be
 retained.(at current market rates): 0
 Current # of FTEs: 85
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 85

Applicant Information
 Applicant Name: NBT Bank (FKA CNB)
 Address Line1: 24 Church Street
 Address Line2:
 City: CANAJOHARIE
 State: NY
 Zip - Plus4: 13317
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects

14.

General Project Information

Project Code: 27029601A
Project Type: Bonds/Notes Issuance
Project Name: NBT Bank (FKA Central National Bank)

Project part of another Yes
phase or multi phase:
Original Project Code: 27029501A
Project Purposes Category: Finance, Insurance and Real Estate

Total Project Amount: \$1,000,000.00
Benefited Project Amount: \$1,000,000.00
Bond/Note Amount: \$1,000,000.00
Annual Lease Payment:
Federal Tax Status of Bonds: Taxable
Not For Profit: No
Date Project Approved: 05/20/1996
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 06/01/1996
or Leasehold Interest:
Year Financial Assitance is 2025
planned to End:
Notes: Creation of Operations Center; also see
project code 27029501A

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 24 Church Street
Address Line2:
City: CANAJOHARIE
State: NY
Zip - Plus4: 13317
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 0
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 0
Estimated average annual salary of jobs to be retained.(at current market rates): 0
Current # of FTEs: 0
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: 0

Applicant Information

Applicant Name: NBT Bank (FKA CNB)
Address Line1: 24 Church Street
Address Line2:
City: CANAJOHARIE
State: NY
Zip - Plus4: 13317
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects

15.

General Project Information

Project Code: 27020802A
Project Type: Bonds/Notes Issuance
Project Name: Noteworthy

Project part of another No
phase or multi phase:
Original Project Code:
Project Purposes Category: Manufacturing

Total Project Amount: \$1,917,808.00
Benefited Project Amount: \$1,917,808.00
Bond/Note Amount: \$1,917,808.00

Annual Lease Payment:
Federal Tax Status of Bonds: Tax Exempt
Not For Profit: No
Date Project Approved: 12/22/2008
IDA Took Title or Leasehold Yes
Interest in the Property:
Date IDA Took Title 12/30/2008
or Leasehold Interest:
Year Financial Assitance is 2015
planned to End:
Notes: project location also at 336 Forest Ave, Elk and Vrooman Streets

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
Local Sales Tax Exemption: \$0
County Real Property Tax Exemption: \$0
Local Property Tax Exemption: \$0
School Property Tax Exemption: \$0
Mortgage Recording Tax Exemption: \$0
Total Exemptions: \$0.00
Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$0

Location of Project

Address Line1: 100 Church Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Employment Information

of FTEs before IDA Status: 64
Original Estimate of Jobs to be created: 0
Average estimated annual salary of jobs to be created.(at current market rates): 0
Annualized salary Range of jobs to be created: 0 To: 0
Original Estimate of Jobs to be Retained: 64
Estimated average annual salary of jobs to be retained.(at current market rates): 40,000
Current # of FTEs: 54
of FTE Construction Jobs during fiscal year: 0
Net Employment Change: (10)

Applicant Information

Applicant Name: Noteworthy Industries, Inc
Address Line1: 100 Church Street
Address Line2:
City: AMSTERDAM
State: NY
Zip - Plus4: 12010
Province Region:
Country: USA

Project Status

Current Year Is Last Year for reporting: No
There is no outstanding debt for this project: No
IDA does not hold title to the property: No
The project receives no tax exemptions: No

IDA Projects 16.

General Project Information
 Project Code: 27020401A
 Project Type: Straight Lease
 Project Name: Power Pallet

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Manufacturing

Total Project Amount: \$3,813,378.00
 Benefited Project Amount: \$1,800,000.00
 Bond/Note Amount:
 Annual Lease Payment: \$0
 Federal Tax Status of Bonds:
 Not For Profit: No
 Date Project Approved: 11/15/2004
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 12/03/2004
 or Leasehold Interest:
 Year Financial Assitance is 2015
 planned to End:
 Notes: Create Business; RPTL should be a negative

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$26,450.02
 Local Property Tax Exemption: \$0
 School Property Tax Exemption: \$33,574.83
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$60,024.85
 Total Exemptions Net of RPTL Section 485-b: \$12,704.06

PILOT Payment Information

| | Actual Payment Made | Payment Due Per Agree |
|-------------------------|---------------------|-----------------------|
| County PILOTS: | \$0 | \$0 |
| Local PILOTS: | \$0 | \$0 |
| School District PILOTS: | \$0 | \$0 |
| Total PILOTS: | \$0 | \$0 |

Net Exemptions: \$60,024.85

Location of Project
 Address Line1: 4715 State Hwy 30
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 70
 Original Estimate of Jobs to be created: 39.5
 Average estimated annual salary of jobs to be created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 70
 Estimated average annual salary of jobs to be retained.(at current market rates): 0
 Current # of FTEs: 147
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 77

Applicant Information
 Applicant Name: MONA Properties Enterprises LLC
 Address Line1: 4715 State Highway 30
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects

17.

General Project Information
 Project Code: 27020301A
 Project Type: Straight Lease
 Project Name: Target Corp T3802

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Wholesale Trade

Total Project Amount: \$124,670,000.00
 Benefited Project Amount: \$107,375,000.00
 Bond/Note Amount:
 Annual Lease Payment: \$0
 Federal Tax Status of Bonds:
 Not For Profit: No
 Date Project Approved: 06/16/2003
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 10/30/2003
 or Leasehold Interest:
 Year Financial Assitance is 2018
 planned to End:
 Notes: Purchase/renovation of building whole
 sale trade

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$1,082,785.52
 Local Property Tax Exemption: \$0
 School Property Tax Exemption: \$1,636,160.09
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$2,718,945.61
 Total Exemptions Net of RPTL Section 485-b: \$606,689.25

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|-------------------------|-------------------|-----------------------|
| County PILOTS: | \$634,560 | \$634,560 |
| Local PILOTS: | \$30,000 | \$30,000 |
| School District PILOTS: | \$951,840 | \$951,840 |
| Total PILOTS: | \$1,616,400 | \$1,616,400 |

Net Exemptions: \$1,102,545.61

Location of Project
 Address Line1: 1800 Route 5S
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 0
 Original Estimate of Jobs to be created: 690
 Average estimated annual salary of jobs to be
 created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 0
 Estimated average annual salary of jobs to be
 retained.(at current market rates): 0
 Current # of FTEs: 697
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 697

Applicant Information
 Applicant Name: Target Corp T3802
 Address Line1: PO Box 9456
 Address Line2:
 City: MINNEAPOLIS
 State: MN
 Zip - Plus4: 55440
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects

18.

General Project Information
 Project Code: 27021001A
 Project Type: Straight Lease
 Project Name: Target Corp T3802 Expansion

Project part of another No
 phase or multi phase:
 Original Project Code:
 Project Purposes Category: Wholesale Trade

Total Project Amount: \$65,546,046.00
 Benefited Project Amount: \$62,183,246.00
 Bond/Note Amount:
 Annual Lease Payment: \$0
 Federal Tax Status of Bonds:
 Not For Profit: No
 Date Project Approved: 05/20/2010
 IDA Took Title or Leasehold Yes
 Interest in the Property:
 Date IDA Took Title 05/01/2003
 or Leasehold Interest:
 Year Financial Assitance is 2022
 planned to End:
 Notes: Project #2 See Project code 27020301A
 for employment numbers

Project Tax Exemptions & PILOT Payment Information

State Sales Tax Exemption: \$0
 Local Sales Tax Exemption: \$0
 County Real Property Tax Exemption: \$0
 Local Property Tax Exemption: \$0
 School Property Tax Exemption: \$0
 Mortgage Recording Tax Exemption: \$0
 Total Exemptions: \$0.00
 Total Exemptions Net of RPTL Section 485-b: \$0.00

PILOT Payment Information

| | Actual Payment Ma | Payment Due Per Agree |
|-----------------------------|-------------------|-----------------------|
| County PILOTS: \$0 | | \$0 |
| Local PILOTS: \$0 | | \$0 |
| School District PILOTS: \$0 | | \$0 |
| Total PILOTS: \$0 | | \$0 |

Net Exemptions: \$0

Location of Project
 Address Line1: 1800 State Highway 5S
 Address Line2:
 City: AMSTERDAM
 State: NY
 Zip - Plus4: 12010
 Province Region:
 Country: USA

Project Employment Information

of FTEs before IDA Status: 0
 Original Estimate of Jobs to be created: 0
 Average estimated annual salary of jobs to be created.(at current market rates): 0
 Annualized salary Range of jobs to be created: 0 To: 0
 Original Estimate of Jobs to be Retained: 0
 Estimated average annual salary of jobs to be retained.(at current market rates): 0
 Current # of FTEs: 0
 # of FTE Construction Jobs during fiscal year: 0
 Net Employment Change: 0

Applicant Information
 Applicant Name: Target Corp T3802
 Address Line1: PO Box 9456
 Address Line2:
 City: MINNEAPOLIS
 State: MN
 Zip - Plus4: 55440
 Province Region:
 Country: USA

Project Status

Current Year Is Last Year for reporting: No
 There is no outstanding debt for this project: No
 IDA does not hold title to the property: No
 The project receives no tax exemptions: No

IDA Projects Summary Information:

| Total Number of Projects | Total Exemptions | Total PILOT Paid | Net Exemptions | Net Employment Change |
|--------------------------|------------------|------------------|----------------|-----------------------|
| 18 | \$7,325,370.07 | \$2,118,628.74 | \$5,206,741.33 | 1,348.9 |

Additional Comments:

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---------------------|
| 1. Does the Authority have procurement guidelines? | Yes | www.mcbdc.org |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | Yes | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | Yes | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | No | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | No | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | No | |

Procurement Transactions Listing:

| | | | |
|----------------------------------|--------------------------------------|----------------------------------|---|
| 1. Vendor Name: | Ambrose Electric | 2. Vendor Name: | Clough Harbour & Associates, LLP |
| Type of Procurement: | Other | Type of Procurement: | Design and Construction/Maintenance |
| Award Process: | Authority Contract - Competitive Bid | Award Process: | Authority Contract - Competitive Bid |
| Award Date: | 05/20/2010 | Award Date: | 04/19/2007 |
| End Date: | | End Date: | 04/19/2007 |
| Amount: | \$79,670 | Amount: | \$515,800 |
| Amount Expended for Fiscal Year: | \$13,414 | Amount Expended for Fiscal Year: | \$0 |
| Address Line1: | 790 Watervliet Shaker Road | Address Line1: | 111 Winners Circle |
| Address Line2: | | Address Line2: | PO Box 5269 |
| City: | LATHAM | City: | ALBANY |
| State: | NY | State: | NY |
| Postal Code: | 12110 | Postal Code: | 12205 |
| Plus 4: | | Plus 4: | 0269 |
| Province Region: | | Province Region: | |
| Country: | USA | Country: | USA |
| Procurement Description: | Water & Sewer Infrastructure | Procurement Description: | Amended Florida Park (BeechNut Project) |

Procurement Transactions Listing:

| | |
|--|--|
| <p>3. Vendor Name: Clough Harbour & Associates, LLP</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 11/09/2008 End Date: Amount: \$22,000 Amount Expended for Fiscal Year: \$0</p> <p>Address Line1: 111 Winners Circle Address Line2: City: ALBANY State: NY Postal Code: 12205 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Survey for Threatened Species</p> | <p>4. Vendor Name: Clough Harbour & Associates, LLP</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 01/08/2009 End Date: Amount: \$19,700 Amount Expended for Fiscal Year: \$3,241.15</p> <p>Address Line1: 111 Winners Circle Address Line2: City: ALBANY State: NY Postal Code: 12205 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Habitat/Wetland Management</p> |
|--|--|

Procurement Transactions Listing:

| | | | |
|----------------------------------|--------------------------------------|----------------------------------|--------------------------------------|
| 5. Vendor Name: | Conti Appraisal & Consulting, LLC | 6. Vendor Name: | Copeland Environmental |
| Type of Procurement: | Other Professional Services | Type of Procurement: | Other Professional Services |
| Award Process: | Authority Contract - Competitive Bid | Award Process: | Authority Contract - Competitive Bid |
| Award Date: | 01/14/2010 | Award Date: | 05/14/2009 |
| End Date: | | End Date: | |
| Amount: | \$1,000 | Amount: | \$40,000 |
| Amount Expended for Fiscal Year: | \$1,000 | Amount Expended for Fiscal Year: | \$3,367 |
| Address Line1: | 614 Route 9W | Address Line1: | 208 Burke Road |
| Address Line2: | | Address Line2: | |
| City: | GLENMONT | City: | SARATOGA SPRINGS |
| State: | NY | State: | NY |
| Postal Code: | 12077 | Postal Code: | 12866 |
| Plus 4: | | Plus 4: | |
| Province Region: | | Province Region: | |
| Country: | USA | Country: | USA |
| Procurement Description: | Summary Appraisal Report FP Ext | Procurement Description: | Habitat Wetland Management |

Procurement Transactions Listing:

| | | | |
|----------------------------------|--------------------------------------|----------------------------------|--------------------------------------|
| 7. Vendor Name: | Cranbrook Construction | 8. Vendor Name: | John McDonald Engineering |
| Type of Procurement: | Design and Construction/Maintenance | Type of Procurement: | Design and Construction/Maintenance |
| Award Process: | Authority Contract - Competitive Bid | Award Process: | Authority Contract - Competitive Bid |
| Award Date: | 05/14/2009 | Award Date: | 10/18/2007 |
| End Date: | | End Date: | |
| Amount: | \$1,008,922 | Amount: | \$245,000 |
| Amount Expended for Fiscal Year: | \$376,829.68 | Amount Expended for Fiscal Year: | \$113,825.5 |
| Address Line1: | 794 Watervliet Shaker Road | Address Line1: | 7 South Church Street |
| Address Line2: | | Address Line2: | |
| City: | LATHAM | City: | SCHENECTADY |
| State: | NY | State: | NY |
| Postal Code: | 12110 | Postal Code: | 12305 |
| Plus 4: | | Plus 4: | |
| Province Region: | | Province Region: | |
| Country: | USA | Country: | USA |
| Procurement Description: | Sewer and Water Improvements 5S | Procurement Description: | Amended Florida Park Ext |

Procurement Transactions Listing:

| | |
|---|--|
| <p>9. Vendor Name: John McDonald Engineering</p> <p>Type of Procurement: Design and Construction/Maintenance Award Process: Authority Contract - Competitive Bid Award Date: 12/22/2008 End Date: Amount: \$179,900 Amount Expended for Fiscal Year: \$59,087.5</p> <p>Address Line1: 7 South Church Street Address Line2: City: SCHENECTADY State: NY Postal Code: 12305 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Hero Group Inc Project Water/Sewer EngineeringEscrow Accounts</p> | <p>10. Vendor Name: John McDonald Engineering</p> <p>Type of Procurement: Design and Construction/Maintenance Award Process: Authority Contract - Competitive Bid Award Date: 02/14/2008 End Date: Amount: \$15,017.5 Amount Expended for Fiscal Year: \$2,967.5</p> <p>Address Line1: 7 South Church Street Address Line2: City: SCHENECTADY State: NY Postal Code: 12305 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Amended Contract Environmental II Glen Park originally dated 2006</p> |
|---|--|

Procurement Transactions Listing:

11. Vendor Name: Mehigan Bellone & Associates

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/31/2010
End Date:
Amount: \$3,800
Amount Expended for Fiscal Year: \$3,800

Address Line1: 249 Green Street
Address Line2:
City: SCHENECTADY
State: NY
Postal Code: 12305
Plus 4:
Province Region:
Country: USA

Procurement Description: Website Redesign

12. Vendor Name: Oak Ridge Farm

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$6,000
Amount Expended for Fiscal Year: \$1,400

Address Line1: 314 Sacandaga Road
Address Line2:
City: JOHNSTOWN
State: NY
Postal Code: 12095
Plus 4:
Province Region:
Country: USA

Procurement Description: Habitat Management mowing

Procurement Transactions Listing:

| | | | |
|----------------------------------|--------------------------------------|----------------------------------|--------------------------------------|
| 13. Vendor Name: | Phoenix Electricians Corp | 14. Vendor Name: | W M Schultz Construction, Inc. |
| Type of Procurement: | Design and Construction/Maintenance | Type of Procurement: | Other |
| Award Process: | Authority Contract - Competitive Bid | Award Process: | Authority Contract - Competitive Bid |
| Award Date: | 05/14/2009 | Award Date: | 05/20/2010 |
| End Date: | | End Date: | |
| Amount: | \$189,447 | Amount: | \$957,532.3 |
| Amount Expended for Fiscal Year: | \$142,183.38 | Amount Expended for Fiscal Year: | \$737,239.68 |
| Address Line1: | 515 Main Street | Address Line1: | PO Box 2620 |
| Address Line2: | PO Box 668 | Address Line2: | |
| City: | CAIRO | City: | BALLSTON SPA |
| State: | NY | State: | NY |
| Postal Code: | 12413 | Postal Code: | 12020 |
| Plus 4: | | Plus 4: | |
| Province Region: | | Province Region: | |
| Country: | USA | Country: | USA |
| Procurement Description: | Sewer and Water Improvement | Procurement Description: | Water & Sewer Infrastructure |

Procurement Transactions Listing:

| | |
|--|--|
| <p>15. Vendor Name: West & Company, CPA's</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 01/01/2010 End Date: Amount: \$17,500 Amount Expended for Fiscal Year: \$17,500</p> <p>Address Line1: 97 West Main Street Address Line2: City: GLOVERSVILLE State: NY Postal Code: 12078 Plus 4: Province Region: Country: USA Procurement Description: Annual Independent Audit</p> | <p>16. Vendor Name: Zone 5</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Non-Competitive Bid Award Date: 07/16/2009 End Date: Amount: \$17,500 Amount Expended for Fiscal Year: \$6,911.25</p> <p>Address Line1: 25 Monroe Street Address Line2: City: ALBANY State: NY Postal Code: 12210 Plus 4: Province Region: Country: USA Procurement Description: Marketing projectBrochure</p> |
|--|--|

Procurement Transactions Listing:

17. Vendor Name: Zone 5

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 07/16/2009
End Date:
Amount: \$4,215
Amount Expended for Fiscal Year: \$673.75

Address Line1: 25 Monroe Street
Address Line2:
City: ALBANY
State: NY
Postal Code: 12210
Plus 4:
Province Region:
Country: USA
Procurement Description: Marketing project Direct mailing

Procurement Transactions Listing:

Additional Comments:

Investment Information:

| Question | Response | URL (if applicable) |
|--|----------|--|
| 1. Has the Authority prepared an Annual Investment Report for the reporting period as required by Section 2925(6) of PAL? | No | |
| 2. Are the Authority's investment guidelines reviewed and approved annually? | Yes | |
| 3. Did the Authority have an independent audit of investments as required by Section 2925(3)(f) of PAL? | Yes | www.mcbdc.org |
| 4. Has the Authority's independent auditor issued a management letter to the Authority in connection with its annual audit of investments? | No | |

Additional Comments: